

(Rev. 5/92) Information Disclosure Statement List By Applicant Under 37 CFR Section 1.98(a) (1) (Use several sheets if necessary)	Attorney Docket Number	Serial Number
	AVX-174-DIV	To Be Assigned
	Applicant	
	Fife, et al.	
	Filing Date:	Group
	Herewith	2831
	Confirmation No.:	
	Unknown	

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(3) Both reasons (1) and (2) apply

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U.S. PATENT DOCUMENTS											
EXAMINER INITIALS	PATENTEE NAME	PATENT NUMBER								ISSUE DATE	COPY NOTE
TN	Weisberg	1	4	1	3	1	4	5		04/18/22	2
	Bourgault, et al.	3	3	4	5	5	4	5		10/03/67	2
	Preissinger, et al.	4	0	1	9	1	0	1		04/19/77	2
	Galvagni	4	0	8	5	4	3	5		04/18/78	2
	Coleman, et al.	4	1	6	8	5	2	0		09/18/79	2
	Constanti, et al.	4	4	8	0	2	9	0		10/30/84	2
	Dequasie, et al.	4	5	8	0	1	8	9		04/01/86	2
	Shaffer	4	6	0	9	9	7	1		09/02/86	2
	Imhof, et al.	4	6	2	7	4	0	5		12/09/86	2
	Crowley, Jr.	4	6	3	9	8	3	6		01/27/87	2
	Gupta, et al.	4	7	2	9	0	5	8		03/01/88	2
	Barker, et al.	4	7	5	7	4	1	4		07/12/88	2
	Harakawa, et al.	4	7	8	5	3	8	0		11/15/88	2
	Saiki	4	7	9	4	4	9	1		12/27/88	2
	Harakawa, et al.	4	8	0	5	0	7	4		02/14/89	2
	Quantillé, et al.	4	8	3	7	0	7	9		06/06/89	2
	Blanchet-Fincher, et al.	4	8	4	9	3	1	4		07/18/89	2
	Yoshimura, et al.	4	8	6	4	4	7	2		09/05/89	2
	Jonas, et al.	4	9	1	0	6	4	5		03/20/90	2
	Harakawa, et al.	4	9	3	4	0	3	3		06/19/90	2
	Sturmer, et al.	4	9	4	5	4	5	2		07/31/90	2
	Locke	4	9	8	4	1	3	4		01/08/91	2
	Kobashi, et al.	5	0	0	5	1	0	7		04/02/91	2
	Kamigawa	5	0	1	7	2	7	2		05/21/91	2
	Kinuta, et al.	5	1	1	9	2	7	4		06/02/92	2
	Saiki, et al.	5	1	3	5	6	1	8		08/04/92	2
	Kudoh, et al.	5	1	8	7	6	5	0		02/16/93	2
	Galvagni	5	1	9	8	9	6	8		03/30/93	2
	Okubi, et al.	5	2	3	8	5	1	8		08/24/93	2
	Gregg, et al.	5	3	2	0	7	2	5		06/14/94	2
	Salisbury	5	3	5	7	3	9	9		10/18/94	2
	Galvagni, et al.	5	3	9	4	2	9	5		02/28/95	2
	Kojima, et al.	5	4	2	4	9	0	7		06/13/95	2
	Abe, et al.	5	4	3	6	7	9	6		07/25/95	2
	Shaw, et al.	5	4	4	0	4	4	6		08/08/95	2
	Sakata, et al.	5	4	5	7	8	6	2		10/17/95	2
TN	Sakata, et al.	5	4	7	3	5	0	3		12/05/95	2

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TTN	Kulkarni	5	4	9	5	3	8	6	02/27/96	2
	Sakata, et al.	5	5	8	6	0	0	0	12/17/96	2
	Heller, et al.	5	5	9	3	8	5	2	01/14/97	2
	Hahn, et al.	5	6	2	2	7	4	6	04/22/97	2
	Forrow, et al.	5	7	1	0	0	1	1	01/20/98	2
	Sakata, et al.	5	7	2	9	4	2	8	03/17/98	2
	Nishiyama, et al.	5	7	9	8	9	0	5	08/25/98	2
	Kudoh, et al.	5	8	1	2	3	6	7	09/22/98	2
	Shieh	5	8	7	6	9	5	2	03/02/99	2
	Hatanaka, et al.	5	9	1	4	8	5	2	06/22/99	2
	Heller, et al.	5	9	6	5	3	8	0	10/12/99	2
	Heller, et al.	5	9	7	2	1	9	9	10/26/99	2
	Guo, et al.	6	0	3	3	8	6	6	03/07/00	2
	Fukaumi, et al.	6	1	5	4	3	5	8	11/28/00	2
	Heller, et al.	6	1	6	2	6	1	1	12/19/00	2
	Lysejko, et al.	6	1	9	2	2	5	2	02/20/01	2
	Bishop, et al.	6	1	9	7	2	5	2	03/06/01	2
	Kobayashi, et al.	6	2	1	9	2	2	3	04/17/01	2
	Monboquette	6	2	4	1	8	6	3	06/05/01	2
	Heller, et al.	6	2	8	4	4	7	8	09/04/01	2
	Feldman, et al.	6	2	9	9	7	5	7	10/09/01	2
	Fife	6	3	2	2	9	1	2	11/27/01	2
	Bauer	6	3	2	2	9	6	3	11/27/01	2
	Igaki, et al.	6	3	2	4	0	5	1	11/27/01	2
	Heller, et al.	6	3	2	9	1	6	1	12/11/01	2
	Feldman, et al.	6	3	3	8	7	9	0	01/15/02	2
	Svorc, et al.	6	3	4	0	5	9	7	01/22/02	2
	Yoshida, et al.	6	3	5	1	3	7	1	02/26/02	2
TTN	Mitsui, et al.	6	4	3	0	0	3	3	08/06/02	2

FOREIGN PATENT DOCUMENTS																
EXAMINER INITIALS		COUNTRY	DOCUMENT NUMBER							PUBLICATION DATE		TRANSLATION			COPY NOTE	
												YES	NO	N/A		
TTN		EP	0	5	0	7	3	1	5	10/07/92				X		
		EP	0	5	5	9	1	0	9	09/08/93				X		
		EP	0	5	7	1	3	2	9	11/24/93				X		
		Great Britain	1	0	3	3	0	2	0	06/15/66				X		
TTN		Japan	6	0	3	7	1	1	4			X				


\*"NO" means that no copy of an English language translation is within the possession, custody, or control of, or is readily available to any individual designated in Rule 56(c).

EXAMINER INITIALS	OTHER DOCUMENTS		COPY NOTE
	Specify author (if any), Title, Pertinent Pages, Date & Place of Publication		
TTN	Abstract of Japanese Patent No. 01-253226		2
I	Abstract of Japanese Patent No. 02-074016		2
	Abstract of Japanese Patent No. 02-074018		2
	Abstract of Japanese Patent No. 02-074021		2
	Abstract of Japanese Patent No. 02-153516		2
	Abstract of Japanese Patent No. 02-219211		2
TTN	Abstract of Japanese Patent No. 02-235326		2

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	Confirmation No.:	
	Unknown	

170	Abstract of Japanese Patent No. 02-238613	2
	Abstract of Japanese Patent No. 02-249221	2
	Abstract of Japanese Patent No. 02-272717	2
	Abstract of Japanese Patent No. 02-298010	2
	Abstract of Japanese Patent No. 03-034303	2
	Abstract of Japanese Patent No. 03-046215	2
	Abstract of Japanese Patent No. 03-064013	2
	Abstract of Japanese Patent No. 03-072615	2
	Abstract of Japanese Patent No. 03-073509	2
	Abstract of Japanese Patent No. 03-078222	2
	Abstract of Japanese Patent No. 03-080522	2
	Abstract of Japanese Patent No. 03-093214	2
	Abstract of Japanese Patent No. 03-093216	2
	Abstract of Japanese Patent No. 03-093217	2
	Abstract of Japanese Patent No. 03-096210	2
	Abstract of Japanese Patent No. 03-167816	2
	Abstract of Japanese Patent No. 03-183111	2
	Abstract of Japanese Patent No. 03-261124	2
	Abstract of Japanese Patent No. 03-280519	2
	Abstract of Japanese Patent No. 03-280523	2
	Abstract of Japanese Patent No. 03-285321	2
	English Translation of Japanese Patent No. 04-023419	2
	Abstract of Japanese Patent No. 04-025009	2
	Abstract of Japanese Patent No. 04-034915	2
	Abstract of Japanese Patent No. 04-042912	2
	Abstract of Japanese Patent No. 04-044305	2
	Abstract of Japanese Patent No. 04-048710	2
	Abstract of Japanese Patent No. 04-053115	2
	Abstract of Japanese Patent No. 04-073924	2
	Abstract of Japanese Patent No. 04-111407	2
	Abstract of Japanese Patent No. 04-188814	2
	Abstract of Japanese Patent No. 04-206811	2
	Abstract of Japanese Patent No. 04-307915	2
	Abstract of Japanese Patent No. 04-307917	2
	Abstract of Japanese Patent No. 04-315412	2
	Abstract of Japanese Patent No. 04-315413	2
	Abstract of Japanese Patent No. 04-320318	2
	Abstract of Japanese Patent No. 05-047604	2
	Abstract of Japanese Patent No. 05-129160	2
	Abstract of Japanese Patent No. 05-136006	2
	Abstract of Japanese Patent No. 05-152169	2
	Abstract of Japanese Patent No. 05-159983	2
	Abstract of Japanese Patent No. 05-159987	2
	English Translation of Japanese Patent No. 05-217808	2
	Abstract of Japanese Patent No. 05-217809	2
	Abstract of Japanese Patent No. 05-234820	2
	Abstract of Japanese Patent No. 05-283291	2
	Abstract of Japanese Patent No. 06-077093	2
	Abstract of Japanese Patent No. 06-084708	2
	Abstract of Japanese Patent No. 06-112094	2
	Abstract of Japanese Patent No. 06-112095	2

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	Confirmation No.: Unknown	

TIN	Abstract of Japanese Patent No. 06-112096	2
	Abstract of Japanese Patent No. 06-196116	2
	Abstract of Japanese Patent No. 52-079255	2
	"The Physical and Chemical Properties of Shellac," H.S. Cockeram and S.A. Levine, Journal of the Society of Cosmetic Chemists, May 12, 1961, pp. 316-323	2
	"Shape-Memory Alloys," Kirk Othmer Concise Encyclopedia of Chemical Technology, pp. 1052-1053	2
	"Application of Shellac in Polymers," Maiti and Rahman, pp. 442-445	2
	"The Heat Curing of Shellac," S. Ranganathan and R.W. Aldis, Bulletin No. 14, pp. 1-10	2
	"Tantalum Nitride: A New Substrate for Solid Electrolyte Capacitors," Terrance B. Tripp, Richard M. Creasi, and Bonnie Cox, 20 <sup>th</sup> Annual Meeting - Capacitor and Resistor Technology Symposium and Seminars	2
	"Comparisons of Multilayer Ceramic and Tantalum Capacitors," Jeffrey Cain, AVX Corporation	2
	"Basic Tantalum Capacitor Technology," John Gill, AVX Corporation	2
	"Glossary of Terms Used in the Tantalum Industry," John Gill, AVX Corporation	2
TIN	"Analysis of Solid Tantalum Capacitor Leakage Current," R.W. Franklin, AVX Corporation	2
EXAMINER 		DATE CONSIDERED 08/11/2004
Examiner: initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.		